

**PEP Form**

**FILL OUT THIS FORM IF:**

**There is one or more Politically Exposed Foreign Person (PEP), Politically Exposed Domestic Person (DPEP), Head of an International Organization (HIO) or Close Associate (CA) of a PEP, DPEP or HIO, who is/are associated in any way with the applicant of this account.**

**Definitions:**

A **Politically Exposed Foreign Person (PEP)** is a person who holds or has held one of the following offices or positions in or on behalf of a foreign state: head of state or head of government; member of the executive council of government or member of a Legislature; deputy minister or equivalent rank; ambassador or attaché or counselor of an ambassador; military officer with a rank of general or higher; president of a state-owned company or a state-owned bank; head of a government agency; Judge of a supreme court, constitutional court or other court of last resort; or a leader or president of a political party represented in a legislature.

**Politically Exposed Domestic Person (DPEP)** is a person who currently holds, or has held within the last 5 years, a specific office or position or on behalf of the Canadian federal government, a Canadian provincial (or territorial) government, or a Canadian municipal government. Specifically, the person has held the office or position of: Governor General, lieutenant governor or head of government; member of the Senate or House of Commons or member of a legislature; deputy minister or equivalent rank; ambassador, or attaché or counsellor of an ambassador; military officer with a rank of general or above; president of a corporation that is wholly owned directly by Her Majesty in right of Canada or a province; head of a government agency; judge of an appellate court in a province, the Federal Court of Appeal or the Supreme Court of Canada; leader or president of a political party represented in legislature; or mayor, reeve or other similar chief officer of a municipal or local government\*. A person ceases to be a Domestic PEP 5 years after they have left office or 5 years after they are deceased.

\*In line with legislation across Canada, municipal governments include cities, towns, villages, and rural (county) or metropolitan municipalities. As such, a mayor is the head of a city, town, village and rural or metropolitan municipality, regardless of the size of the population.

A **Head of an International Organization (HIO)** is a person who currently holds or has held within the last 5 years the specific office or position of head of an international organization and the international organization that they head or were head of is either: a) an international organization established by the government of states; b) an institution of an organization referred to in a) above; c) an international sports organization.

**Close Associate (CA)** of a PEP, DPEP or HIO, means an individual who is widely and publicly known to maintain an unusually close relationship with the PEP, DPEP, or HIO, and includes an individual who is in a position to conduct substantial domestic and international financial transactions on behalf of the PEP, DPEP, or HIO.

**1. What is the full name of each PEP/DPEP/HIO/CA?**

- a. First Name \_\_\_\_\_ Last Name \_\_\_\_\_ Date of Birth \_\_\_\_\_
- b. First Name \_\_\_\_\_ Last Name \_\_\_\_\_ Date of Birth \_\_\_\_\_
- c. First Name \_\_\_\_\_ Last Name \_\_\_\_\_ Date of Birth \_\_\_\_\_
- d. First Name \_\_\_\_\_ Last Name \_\_\_\_\_ Date of Birth \_\_\_\_\_
- e. First Name \_\_\_\_\_ Last Name \_\_\_\_\_ Date of Birth \_\_\_\_\_



**2. What is the nature of the PEP/DPEP/HIO/CA's association with the account applicant?**

- a. \_\_\_\_\_  
\_\_\_\_\_
- b. \_\_\_\_\_  
\_\_\_\_\_
- c. \_\_\_\_\_  
\_\_\_\_\_
- d. \_\_\_\_\_  
\_\_\_\_\_
- e. \_\_\_\_\_  
\_\_\_\_\_

**3. Please provide details in relation to each PEP/DPEP/HIO/CA:**

- a. Country \_\_\_\_\_ Position \_\_\_\_\_ Start Date \_\_\_\_\_  
End Date \_\_\_\_\_
- b. Country \_\_\_\_\_ Position \_\_\_\_\_ Start Date \_\_\_\_\_  
End Date \_\_\_\_\_
- c. Country \_\_\_\_\_ Position \_\_\_\_\_ Start Date \_\_\_\_\_  
End Date \_\_\_\_\_
- d. Country \_\_\_\_\_ Position \_\_\_\_\_ Start Date \_\_\_\_\_  
End Date \_\_\_\_\_
- e. Country \_\_\_\_\_ Position \_\_\_\_\_ Start Date \_\_\_\_\_  
End Date \_\_\_\_\_

