

**INDIVIDUAL / JOINT
NEW ACCOUNT APPLICATION FORM
ORDER-EXECUTION ONLY ACCOUNT**

A. ACCOUNT APPROPRIATENESS

- Do you have any experience with online trading in the past? Yes No
- Are you comfortable with trading online without third party assistance? Yes No
- Are you looking for advice from our service? Yes No

Clients that are not comfortable trading on their own or they're looking for advice, we would highly suggest an advisory form of trading account. CI Financial has all forms of advisory accounts such as Assante Wealth Management or CI Private Wealth accounts that may be better suited to these types of client needs.

B. ACCOUNT INFORMATION

Please indicate the type(s) of the account(s) that you require.

Ownership

- Individual Joint (WITH Right of Survivorship) Joint (NO Right of Survivorship)

1. Non-Registered Accounts

Type	Options Trading		Short Trading		Currency (Select at least one currency)
	Yes	No	Yes	No	
	(Check One)		(Check One)		

- | | | | |
|---------------------------------|---|---|---|
| <input type="checkbox"/> Cash | <input type="checkbox"/> <input type="checkbox"/> | <input type="checkbox"/> <input type="checkbox"/> | <input checked="" type="checkbox"/> CAD <input checked="" type="checkbox"/> USD |
| <input type="checkbox"/> Margin | <input type="checkbox"/> <input type="checkbox"/> | <input type="checkbox"/> <input type="checkbox"/> | <input checked="" type="checkbox"/> CAD <input checked="" type="checkbox"/> USD |

	Margin Account	Cash Account
What investment types are available?	Stocks, Mutual Funds, ETFs, and Options*	Stocks, Mutual Funds, ETFs, and Options*
Can you short sell?	Yes	No
Can you purchase securities using leverage?	Yes	No

*Options are only available if you check YES under Options Trading

2. Registered Accounts

Type	Options Trading		Currency (Select at least one currency)	Additional Required Form
	Yes	No		
	(Check One)			

- | | | | |
|--|---|--|--|
| <input type="checkbox"/> Individual RRSP | <input type="checkbox"/> <input type="checkbox"/> | <input checked="" type="checkbox"/> CAD <input type="checkbox"/> USD | RRSP |
| <input type="checkbox"/> Spousal RRSP | <input type="checkbox"/> <input type="checkbox"/> | <input checked="" type="checkbox"/> CAD <input type="checkbox"/> USD | RRSP |
| <input type="checkbox"/> TFSA | <input type="checkbox"/> <input type="checkbox"/> | <input checked="" type="checkbox"/> CAD <input type="checkbox"/> USD | TFSA |
| <input type="checkbox"/> RESP | <input type="checkbox"/> <input type="checkbox"/> | <input checked="" type="checkbox"/> CAD <input type="checkbox"/> USD | RESP (1. Single Plan OR Family Plan, 2. Education Savings Grant Application) |
| <input type="checkbox"/> RIF | <input type="checkbox"/> <input type="checkbox"/> | <input checked="" type="checkbox"/> CAD <input type="checkbox"/> USD | RIF |
| <input type="checkbox"/> FHSA | <input type="checkbox"/> <input type="checkbox"/> | <input checked="" type="checkbox"/> CAD <input type="checkbox"/> USD | FHSA |
| <input type="checkbox"/> Group TFSA | <input type="checkbox"/> <input type="checkbox"/> | <input checked="" type="checkbox"/> CAD <input type="checkbox"/> USD | Group TFSA |
| <input type="checkbox"/> Group RRSP | <input type="checkbox"/> <input type="checkbox"/> | <input checked="" type="checkbox"/> CAD <input type="checkbox"/> USD | Group RRSP |
| <input type="checkbox"/> Group FHSA | <input type="checkbox"/> <input type="checkbox"/> | <input checked="" type="checkbox"/> CAD <input type="checkbox"/> USD | FHSA |
| <input type="checkbox"/> RDSP | <input type="checkbox"/> <input type="checkbox"/> | <input checked="" type="checkbox"/> CAD <input type="checkbox"/> USD | RDSP |

C. APPLICANT PERSONAL INFORMATION

First Name _____

Middle Name _____

Last Name _____

Gender M F

Citizenship Canadian Other _____

Date of Birth DD MM YYYY

Social Insurance Number (Tax ID for international clients) _____

Marital Status Single Married Common Law Divorced Separated Widowed

Residential Address

Street Number Street Name Apartment/Unit/Suite Number

City Province Postal Code Country

Home Phone Number () _____ Business Phone Number () _____

Cell Phone Number () _____ Fax Number () _____

E-mail Address _____

Mailing Address (If Different from the Residential Address)

Street Number Street Name Apartment/Unit/Suite Number

City Province Postal Code Country

Employment Status Employed Self-Employed Retired Unemployed

Employer _____

Please note: If you indicated "Employed" or "Self-Employed", "Occupation" and "Employment Address" must be specified.

Occupation (If you indicated "Retired", please provide previous Occupation) _____

Employment Address As Specified Below Same as Residential Address Same as Mailing Address

Street Number Street Name Apartment/Unit/Suite Number

City Province Postal Code Country

D. APPLICANT SPOUSE INFORMATION

First Name _____

Middle Name _____

Last Name _____

Gender M F

Citizenship Canadian Other _____

Date of Birth DD MM YYYY

Social Insurance Number (Tax ID for international clients) _____

Residential Address (If Different from Applicant Address)

Street Number	Street Name	Apartment/Unit/Suite Number	
City	Province	Postal Code	Country
Home Phone Number	() _____	Business Phone Number	() _____
Cell Phone Number	() _____	Fax Number	() _____
E-mail Address			
Employment Status	<input type="checkbox"/> Employed <input type="checkbox"/> Self-Employed <input type="checkbox"/> Retired <input type="checkbox"/> Unemployed		
Employer			

Please note: If you indicated "Employed" or "Self-Employed", "Occupation" and "Employment Address" must be specified.

Occupation (If you indicated "Retired", please provide previous Occupation) _____

Employment Address
 As Specified Below
 Same as Residential Address
 Same as Mailing Address

Street Number	Street Name	Apartment/Unit/Suite Number	
City	Province	Postal Code	Country

E. JOINT APPLICANT PERSONAL INFORMATION (JOINT ACCOUNT TYPE ONLY)

Is the spouse the Joint Applicant?

Yes No

If Yes (i.e. Spouse is Joint Applicant), please skip to section F

First Name _____

Middle Name _____

Last Name(s) _____

Gender M F

Citizenship Canadian Other _____

Marital Status Single Married Common Law Divorced Separated Widowed

Date of Birth DD MM YYYY

Social Insurance Number (Tax ID for international clients) _____

Residential Address

Street Number	Street Name	Apartment/Unit/Suite Number	
City	Province	Postal Code	Country



15 York Street, Toronto, Ontario M5J 0A3 Canada Phone: 1.877.310.1088 Fax: 416.288.8611

Home Phone Number () _____ Business Phone Number () _____

Cell Phone Number () _____ Fax Number () _____

E-mail Address _____

Mailing Address (If Different from the Residential Address)

Street Number Street Name Apartment/Unit/Suite Number

City Province Postal Code Country

Employment Status Employed Self-Employed Retired Unemployed

Employer _____

Please note: If you indicated "Employed" or "Self-Employed", "Occupation" and "Employment Address" must be specified.

Occupation (If you indicated "Retired", please provide previous Occupation) _____

Employment Address As Specified Below Same as Residential Address Same as Mailing Address

Street Number Street Name Apartment/Unit/Suite Number

City Province Postal Code Country

F. JOINT APPLICANT SPOUSE INFORMATION (JOINT ACCOUNT TYPE ONLY)

First Name _____

Middle Name _____

Last Name(s) _____

Gender M F

Citizenship Canadian Other _____

Date of Birth DD [] [] MM [] [] YYYY [] [] [] []

Social Insurance Number (Tax ID for international clients) _____

Residential Address (If Different from Joint Applicant Address)

Street Number Street Name Apartment/Unit/Suite Number

City Province Postal Code Country

Home Phone Number () _____ Business Phone Number () _____

Cell Phone Number () _____ Fax Number () _____

E-mail Address _____

Employment Status Employed Self-Employed Retired Unemployed

Employer _____

Please note: If you indicated "Employed" or "Self-Employed", "Occupation" and "Employment Address" must be specified.

Occupation (If you indicated "Retired", please provide previous Occupation) _____

Employment Address As Specified Below Same as Residential Address Same as Mailing Address

Street Number _____ Street Name _____ Apartment/Unit/Suite Number _____

City _____ Province _____ Postal Code _____ Country _____

G. ELECTRONIC FUND TRANSFER (EFT)

By providing us with your banking information, you are consenting to linking your bank account to your brokerage account in order to have the ability to transfer funds between your brokerage account and your bank account.

H. APPLICANT(S) REGULATORY PROFILE

1. Are you or is your spouse or any member of your household, an Officer or Director or Insider of a publicly traded company?

No Yes If yes, please provide specifics below. If more parties, please use a separate sheet.

Applicant

Name of the Person Relationship to the Applicant Name of the Company, Exchange Symbol Position with the Company

Joint Applicant (If applicable)

Name of the Person Relationship to the Applicant Name of the Company, Exchange Symbol Position with the Company

2. Do you or does your spouse or any member of your household, individually or as member of a group, own 10% or more of the outstanding shares of any publicly traded company?

No Yes If yes, please provide specifics below. If more than one person or company, please use a separate sheet.

Applicant

Name of the Person Relationship to the Applicant Name of the Company, Exchange Symbol Position with the Company

Joint Applicant (If applicable)

Name of the Person Relationship to the Applicant Name of the Company, Exchange Symbol Position with the Company

3. Are you or is your spouse or any member of your household a director, partner, officer, employee, or agent of a securities dealer, or of a stock exchange or the Canadian Investment Regulatory Organization?

No Yes If yes, please provide specifics below. If more than one person or company/organization, please use a separate sheet.

Applicant

Name of the Person Relationship to the Applicant Name of the Company, Exchange Symbol Position with the Company

Joint Applicant (If applicable)

Name of the Person Relationship to the Applicant Name of the Company, Exchange Symbol Position with the Company

4. What is your intended use of the account(s) at CI Direct Trading?

Applicant

- Investments for the eventual payment of a child's education
- Investments for personal savings, long term savings or retirement
- Investments of the retained earnings of a corporation
- Investments for a group plan
- Other _____

Joint Applicant (If applicable)

- Investments for the eventual payment of a child's education
- Investments for personal savings, long term savings or retirement
- Investments of the retained earnings of a corporation
- Investments for a group plan
- Other _____

5. Your personal investment Profile:

CLIENT

JOINT CLIENT

What is your Annual Net Income (CAD)?

What is your Liquid Net Worth (CAD)?

(Cash and equivalents – Liabilities on Cash and equivalents)

What is your Net Worth (CAD)?

(Assets – Liabilities)

6. What is the source of funds being or will be deposited in the account? Please mark all boxes that apply.

- Employment Income
- Investment Income
- Savings
- Inheritance
- Payment for Legal Settlement
- Sale of an Asset
- Gift
- Other _____

7. Are you or is any authorized individual or beneficial owner of this account or your/their Family Members¹:

- a. considered a Politically Exposed Foreign Person (PEP)²? Applicant Yes No Joint Applicant (if applicable) Yes No
- b. considered a Politically Exposed Domestic Person (DPEP)³? Applicant Yes No Joint Applicant (if applicable) Yes No
- c. considered a Head of International Organization (HIO)⁴? Applicant Yes No Joint Applicant (if applicable) Yes No
- d. a Closely Associated (CA)⁵, for business or personal reasons, with a person deemed a PEP, DPEP and/or HIO? Applicant Yes No Joint Applicant (if applicable) Yes No

If the answer is "Yes" to any of the above noted questions, please fill out a PEP Form for each person.

¹ Family members include the person's children, parents, spouse or common-law partner, parents of the spouse or common-law partner, and the children of the person's mother or father (siblings).

² A Politically Exposed Foreign Person (PEP) is a person who holds or has held one of the following offices or positions in or on behalf of a foreign state: head of state or head of government; member of the executive council of government or member of a Legislature; deputy minister or equivalent rank; ambassador or attaché or counselor of an ambassador; military officer with a rank of general or higher; president of a state-owned company or a state-owned bank; head of a government agency; Judge of a supreme court, constitutional court or other court of last resort; or a leader or president of a political party represented in a legislature.

³ Politically Exposed Domestic Person (DPEP) is a person who currently holds, or has held within the last 5 years, a specific office or position or on behalf of the Canadian federal government, a Canadian provincial (or territorial) government, or a Canadian municipal government. Specifically, the person has held the office or position of: Governor General, lieutenant governor or head of government; member of the Senate or House of Commons or member of a legislature; deputy minister or equivalent rank; ambassador, or attaché or counselor of an ambassador; military officer with a rank of general or above; president of a corporation that is wholly owned directly by Her Majesty in right of Canada or a province; head of a government agency; judge of an appellate court in a province, the Federal Court of Appeal or the Supreme Court of Canada; leader or president of a political party represented in legislature; or mayor, reeve or other similar chief officer of a municipal or local government*. A person ceases to be a Domestic PEP 5 years after they have left office or 5 years after they are deceased.

*In line with legislation across Canada, municipal governments include cities, towns, villages, and rural (county) or metropolitan municipalities. As such, a mayor is the head of a city, town, village and rural or metropolitan municipality, regardless of the size of the population.

⁴ A Head of an International Organization (HIO) is a person who currently holds or has held within the last 5 years the specific office or position of head of an international organization and the international organization that they head or were head of is either: a) an international organization established by the government of states; b) an institution of an organization referred to in a) above; c) an international sports organization.

⁵ Close Associates (CA) of a PEP, DPEP or HIO, means an individual who is widely and publicly known to maintain an unusually close relationship with the PEP, DPEP, or HIO, and includes an individual who is in a position to conduct substantial domestic and international financial transactions on behalf of the PEP, DPEP, or HIO.

I. THIRD-PARTY INTEREST

1. Will any other person(s) have Power of Attorney over your account(s)?

- No Yes If yes, please indicate the name of Person(s). If more than one person, please use a separate sheet. A notarized Power of Attorney Letter is necessary.

Name of Person with Power of Attorney

2. Will any other person(s) have trading authority over your account(s)?

- No Yes If Yes, please fill out the Trading Authorization section below.

3. Is there any other individual or entity that has financial interest to your account? No Yes

Is there any other individual or entity authorized to give instructions or direct activity in your account? No Yes

If Yes to either questions, please indicate the specifics below. If more parties, please use a separate sheet.

If Person:

If Corporation:

Name of Person

Name of Corporation

Date of Birth

Citizenship

Corporation Number

Place of Incorporation

Main Occupation	Relationship to You	Date of Incorporation	Main Type of Business	Relationship to You
Residential Address		Address		
Street Number	Street Name	Apartment/Unit/Suite Number		
City	Province	Postal Code	Country	
()		()		
Telephone Number		Telephone Number		

J. TRADING AUTHORIZATION / POWER OF ATTORNEY (if applicable)

Please fill out this section, only if you wish to grant trading authority on your account to another individual ("Agent").
 I/We, the Applicant(s), hereby appoint and fully authorize the individual named below to place orders and execute trades or transactions relating to equities, options, futures, bonds and other financial instruments for my/our account. All transactions shall be valid and binding upon me/us, and third parties are hereby authorized to act thereon and give effect thereto (please see *Trading Authorization Agreement in Customer Agreements & Disclosures Booklet*).

Agent Details

First Name _____

Last Name _____

Middle Name(s) _____ Gender M F

Citizenship Canadian Other _____

Date of Birth DD MM YYYY

Social Insurance Number (Tax ID for international clients) _____

Relationship to You _____

Residential Address _____

Street Number _____ Street Name _____ Apartment/Unit/Suite Number _____

City _____ Province _____ Postal Code _____ Country _____

Home Phone Number () _____ Business Phone Number () _____

Cell Phone Number () _____ E-mail Address _____

Employment Status Employed Self-Employed Retired Unemployed

Employer _____

Please note: If you indicated "Employed" or "Self-Employed", "Occupation" and "Employment Address" must be specified.

Occupation (If you indicated "Retired", please provide previous Occupation) _____

Employment Address As Specified Below Same as Residential Address Same as Mailing

Address Street Number _____ Street Name _____ Apartment/Unit/Suite Number _____

City _____ Province _____ Postal Code _____ Country _____

K. REGULATORY PROFILE (To be completed by Agent)

1. Are you or is your spouse or any member of your household, an Officer or Director or Insider of a publicly traded company?

No Yes If yes, please provide specifics below. If more parties, please use a separate sheet.

_____ Name of the Person	_____ Relationship to the Authorized Trader	_____ Name of the Company, Exchange Symbol	_____ Position with the Company
_____ Name of the Person	_____ Relationship to the Authorized Trader	_____ Name of the Company, Exchange Symbol	_____ Position with the Company

2. Do you or does your spouse or any member of your household, individually or as member of a group, own 10% or more of the outstanding shares of any publicly traded company?

No Yes If yes, please provide specifics below. If more than one person or company, please use a separate sheet.

_____ Name of the Person	_____ Relationship to the Authorized Trader	_____ Name of the Company, Exchange Symbol	_____ Position with the Company
_____ Name of the Person	_____ Relationship to the Authorized Trader	_____ Name of the Company, Exchange Symbol	_____ Position with the Company

3. Are you or is your spouse or any member of your household a director, partner, officer, employee or agent of a securities dealer, or of a stock exchange or the Canadian Investment Regulatory Organization?

No Yes If yes, please provide specifics below. If more than one person or company/organization, please use a separate sheet.

_____ Name of the Person	_____ Relationship to the Authorized Trader	_____ Name of the Company/Organization	_____ Position with the Company/Organization
_____ Name of the Person	_____ Relationship to the Authorized Trader	_____ Name of the Company/Organization	_____ Position with the Company/Organization

The Agent is hereby authorized to act as agent for and on behalf of the undersigned to give orders to buy (on margin or otherwise) or to sell (including short sales) any securities or to give any other instructions in connection with the operations of such account referred to above, the whole in accordance with the terms and conditions of any agreements entered into between the Applicant and the Broker in connection with such account. The Broker is authorized and may rely upon such orders and instructions until receipt by the Broker, at its head office in Toronto, ON (c/o Compliance Department) of a written revocation notice. Notwithstanding the foregoing, this authorization does not entitle the Agent to (i) receive or transfer from the account any securities or monies, (ii) execute any agreements for and on behalf of the Applicant, or (iii) open any other accounts with The Broker for and on behalf of the Applicant. The Applicant undertakes to make full and timely settlement and to pay to the Broker any commissions and other charges in respect of each transaction made pursuant to such orders and instructions of the Agent. The Applicant also undertakes to indemnify and hold the Broker harmless from and any losses and damages that may result of any operation made in accordance with such Agent's orders and instructions. In no case the Broker shall be held liable to the Applicant or his/her legal representatives, heirs, successors and assigns, for the execution of any transactions made in accordance with such orders and instructions and the Applicant hereby ratifies any and all such transactions. The Applicant acknowledges and agrees that he/she/it is solely responsible to monitor the actions of his/her/its Agent(s).

_____ Applicant Name	_____ Applicant Signature	_____ Date (DD/MM/YYYY)
_____ Joint Applicant Name (If applicable)	_____ Joint Applicant Signature	_____ Date (DD/MM/YYYY)

Agent Section

The Agent hereby accepts his/her appointment as specified above and authorizes the Broker to verify all the information provided here by conducting full identity, credit and financial checks.

_____ Agent Name	_____ Agent Signature	_____ Date (DD/MM/YYYY)
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L. TRUSTED CONTACT PERSON

Please fill out this section, only if you wish to appoint a Trusted Contact Person (“TCP”).

Under securities laws that apply to us, we must ask you to provide us with the name and contact information for a person that you trust to assist us in protecting your account with us. This is known as a trusted contact person or trusted contact. We cannot share private information about you without your permission. By appointing a TCP, you allow us to contact and share information with your TCP in the following circumstances:

- We are concerned about your mental capacity as it relates to financial decision making
- We need to know or confirm the identity and contact information of your legal representative (if any)
- We need to confirm your current contact information and/or
- We are concerned that you might be subject to financial exploitation, which could include fraud, coercion or unauthorized transactions.

We are not obligated in any circumstance to contact your TCP. Your TCP has no authority to instruct us unless they are also your legal representative – that is, your Guardian or Attorney for Property.

I designate the person below to be my TCP and confirm I have discussed their designation with them and they agree to act as my TCP.

Client

TCP Name	Phone Number	Email	Relationship
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Joint Applicant

TCP Name	Phone Number	Email	Relationship
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M. IDENTITY VERIFICATION

Pursuant to the Canada’s Proceeds of Crime (Money Laundering) and Terrorist Financing Act, CI Direct Trading is obligated to verify the identity of each account holder and everyone who is authorized to give instructions (including those holding power of attorney or trading authorization) by applying dual-process methods. For this purpose, please provide high resolution photocopy or scan of a government-issued photo ID and a personal cheque of \$1 CAD payable to CI Investment Services Inc., the carrying broker for CI Direct Trading. The cheque should have person’s name imprinted on it. Once the cheque clears, the funds will be credited to your account. CI Direct Trading are required to take additional measures to verify identity if needed.

1. Individual Account Applicant
2. Joint Account Applicant (If Applicable)
3. Trading Authorization Person (If Applicable)

N. NATIONAL INSTRUMENT 54-101: COMMUNICATION WITH BENEFICIAL OWNERS OF SECURITIES OF A REPORTING ISSUER

Part 1 - Disclosure of Beneficial Ownership Information

Please indicate whether you do or do not object to us disclosing your name, address, electronic mail address, securities holdings and preferred language of communication (English or French) to issuers of securities you hold with us and to other persons or companies in accordance with securities law.

- I / We **DO NOT OBJECT** to you disclosing the information described above.
- I / We **DO OBJECT** to you disclosing the information described above.

Please note that if you DO OBJECT, securities regulations dictate that you will be responsible for costs incurred by Canadian reporting issuers for delivering mandatory security-holder materials to you.

Part 2 - Receiving Security-holder Materials

As beneficial owner(s) of securities of Canadian reporting issuers you have the right to receive all of the following security-holder materials: a) proxy-related materials for annual and special meeting b) financial statements and annual reports that are not part of proxy-related materials; and c) materials sent to shareholders that are not required by corporate or securities law to be sent.

Please indicate your choice of materials that you wish to receive:

- I / We **WANT** to receive **ALL** security holder materials sent to beneficial owners of securities.
- I / We **WANT** to receive **ONLY** proxy-related materials that are sent in connection with a special meeting.
- I / We **DECLINE** to receive **ALL** security holder materials sent to beneficial owners of securities. Even if I/we decline to receive these types of materials, I/we understand that a reporting issuer or other person or company may send these materials to us at its own expense.

Please Note: These instructions do not apply to any specific request you give or may have given to a reporting issuer concerning the sending of interim financial statements of the reporting issuer. In addition, in some circumstances, the instructions you give in this client response form will not apply to annual reports or financial statements of an investment fund that are not part of proxy-related materials. An investment fund is also entitled to obtain specific instructions from you on whether you wish to receive its annual report or financial statements, and where you provide specific instructions,

Part 3 - Preferred Language of Communication

Please indicate your preferred language of communication. I/We understand that the materials I / we receive will be in my/our preferred language of communication, if the materials are available in that language.

- English French

Part 4 - Agreement Governing Electronic Delivery of Documents

In accordance with securities law, only upon your agreement we can deliver certain documents by electronic means. Please indicate whether or not you wish to receive these documents electronically:

- I / We **OBJECT** to the Broker delivering electronically to us the documents mentioned in Part 2.
- I / We **DO NOT OBJECT** to the Broker delivering electronically to me/us the documents mentioned in Part 2 in respect with the following terms and conditions:

The Client(s) certify(ies) that he/she/they have the capacity and the technical equipment (computer, telephone or other necessary equipment) enabling them to receive from the Broker the document mentioned above by electronic means, particularly but not exclusively via the Internet, to access the said documents and to read it. The Client(s) agree(s) that all documents received by electronic means have the same legal validity and shall be binding toward the Broker and the Client(s) in the same manner as if they were received in a paper form. The paper version of the document above mentioned is available at the request of the Client(s), either verbal or written. A paper version of the document will also be sent automatically to the Client(s) every time the electronic delivery is impossible for any reason. It is understood that the Broker shall not be liable for losses, directly or indirectly incurred by the Client(s), with respect to any electronic delivery of documents. Without limiting the generality of the previous, the Broker shall not be liable in the event of a breakdown of the Client(s) equipment nor for the interruption of any electronic delivery of documents. The Broker has taken all reasonable measures at its disposal to ensure the confidentiality of all electronic delivery of documents and the Client(s) personal information. However, the Broker shall not be liable for losses directly or indirectly incurred by the Client(s) if an unauthorized third party succeeds in penetrating the security systems adopted by the Broker or the security system protecting the Client(s) own equipment. The Client(s) accept(s) the risks inherent in the communication and delivery of documents by electronic means, notably via the Internet. The Client(s) undertake(s) to inform the Broker of any change with respect to their electronic mail address.

Part 5 - Modification of Instructions

I / We understand that these instructions may be changed at any time by giving written notice to the Broker and that will be made as soon as possible.

- I / We have read and understand the explanation that you have provided to me/us in connection with National Instrument 54-101, "Communication with Beneficial Owner of Securities of a Reporting Issuer". The choices I /we have indicated above apply to all of the securities held in my /our account(s).

0. GENERAL DISCLOSURES & AGREEMENTS

1. CI Direct Trading is exempt from Suitability Determination of Client Trades

CI Direct Trading ("CIDT") is a discount broker division of CI Investment Services Inc. As a discount broker, CIDT is exempt from suitability determination of client trades. Specifically, CIDT acts ONLY as an order execution service; CIDT does NOT act in an advisory capacity and does NOT provide any recommendations; CIDT will NOT be responsible for making suitability determination of trades when accepting orders from the customer; CIDT will NOT consider your financial situation, investment knowledge, investment objectives and risk tolerance when accepting orders from you.

Applicant Signature

Date (DD/MM/YYYY)

Joint Applicant Signature (if applicable)

Date (DD/MM/YYYY)

2. Consent to Electronic Delivery

I/We, the undersigned, CONSENT to receive all communications, notices and documents that CI Direct Trading elects to send me/us by electronic delivery, including, Trade Confirmations, Account Statements, Prospectuses, Canadian Investor Protection Fund Brochure, Investor Protection for Clients of CIO Member Firms Brochure, and CI Direct Trading Customer Agreements & Disclosures Booklet.

Applicant Signature

Date (DD/MM/YYYY)

Joint Applicant Signature (if applicable)

Date (DD/MM/YYYY)

3 Other Disclosures and Agreements Summary

In addition to above disclosures and agreements, I/we, the undersigned, acknowledge that I/we have read, understand and AGREE to all the following disclosures and agreements as found in CI Direct Trading Disclosures & Customer Agreements.

- Relationship Disclosure
- Financing of Securities Transactions and Leverage Risk
- Short Selling of Securities
- Subscription to New Issue Offerings
- Leveraged and Derivative-based Exchange-traded Instruments
- Transfer of Funds and Securities
- Trading Authorization Agreement
- Joint Account Agreements (Joint Accounts only)
- Margin Agreement
- Risk Disclosure Statement for Options
- Option Trading Agreement
- Trading Platform Agreement(s)

I/we, the undersigned, acknowledge that I/we have read and understand the following.

- How CRO protects investors
- Canadian Investor Protection Fund (CIPF)

Applicant Signature

Date (DD/MM/YYYY)

Joint Applicant Signature (if applicable)

Date (DD/MM/YYYY)

4 Privacy and Use of Client Personal Information

I/We, the undersigned, acknowledge that I/We have read, understand and AGREE to Privacy and Use of Client Personal Information as found in CI Direct Trading (or CI Investment Services Inc.) Disclosure & Customer Agreements.

APPLICANT(S) CERTIFICATION

I/We the undersigned, CERTIFY that (i) the information provided in this application and related documents is true, accurate and complete and it may be relied upon by CI Direct Trading until the undersigned sends a written notice informing CI Direct Trading of any changes, and (ii) I/We have read, understand and AGREE with all disclosures and agreements in this document and in *CI Direct Trading Disclosures & Customer Agreements Booklet*. I/We understand and agree that I/we are bound by all agreements and contractual obligations specified in this document and all other agreements in *CI Direct Trading Disclosures & Customer Agreements Booklet* that pertain to my/our account type(s).

I/We authorize CI Direct Trading to verify all information provided here and conduct identity, employment, credit and financial checks as it deems appropriate with regard to approving this application and maintaining my/our account(s).

It is the express wish of the parties that this Document and all agreements, notices and other communications relating to the operation of the Account be drawn up in English only. *Il est de la volonté expresse des parties que ce contrat et tous les documents avis et autres communications qui concernent l'opération des Comptes conjoints soient rédigés en langue anglaise seulement.*

Applicant Name

Applicant Signature

Date (DD/MM/YYYY)

Joint Applicant Name (if applicable)

Joint Applicant Signature (if applicable)

Date (DD/MM/YYYY)

APPLICATION CHECKLIST

- 1. New Account Application Form (signed and dated original copy)
- 2. If a Commission-Free: Commission-Free Trading Account Agreement
- 3. W-8BEN Form
- 4. W9 Form (For each Beneficial Owner who is a U.S. Citizen)
- 5. Waiver of Confidentiality Form (For each Beneficial Owner who is a U.S. Citizen)
- 6. Declaration of Tax Residence for Individuals Form
- 7. A personal cheque for the amount of \$1.00 CAD drawn on a Canadian bank, trust company, credit union, caisse populaire or Government savings office from all account holders, and all authorized individuals and traders payable to *CI Investment Services Inc.*
- 8. High resolution photocopy or scan of a Driver's License or Passport for all account holders, authorized individuals and traders
- 9. If transferring account(s) to CI Direct Trading, signed and dated Non-Registered Transfer Authorization Form

APPLICATION SUBMISSION INSTRUCTIONS

1. Please fax or scan and email your completed, signed and dated application documents to CI Direct Trading for pre-screening.
2. CI Direct Trading will then screen your application documents and notify you via email of any deficiencies or, alternatively, confirm that your application was completed properly. Once you have received clearance from CI Direct Trading as to the completeness of your application, please mail the signed original application and supporting documentation to CI Direct Trading.

Fax: 416.288.8611

E-mail: NewAccount@cidirecttrading.com

Mailing Address: CI Direct Trading
15 York Street, 2nd Floor
Toronto, Ontario M5J 0A3 Canada
ATTN: New Accounts

In-Person: 1.877.310.1088 or 416.288.8028
(By Appointment Only)

Once your account is opened, you will receive, via email, a Welcome Message with your account number and access information.