



**INVESTMENT**  
SERVICES

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A GUIDE TO YOUR PORTFOLIO  
STATEMENT

We are pleased to provide this step-by-step guide to help you navigate your Portfolio Statement with ease.

Your Portfolio Statement provides details of all your CI Investment Services Inc. (“CIIS”) accounts and is designed to present information in a clear and concise manner.

You will receive a Portfolio Statement monthly.

**Cover Page:**

- 1 Your Service Team and contact information**
- 2 Your name and address per our records**
- 3 Statement Period & Delivery Preference**  
We will send you a portfolio statement monthly. Statements will be delivered based on your designated preference.
- 4 Statement Messages**  
Important messages will be included here for your reference. We will feature relevant resources to provide insights, updates, and opportunities to stay informed and engaged with your portfolio.

The sample Portfolio Statement cover page includes the following sections:

- CI INVESTMENT SERVICES** logo and address: 15 York Street, 7th floor, Toronto, ON M5J 0A3.
- Served By:** Santa's Firm, 111 Bay St, Chadlington Ontario, A1C 3D4.
- Client Name and Address:** JOHN S DOE, 123 ELGIN ST, WONDERVILLE ON A1B 2C3.
- Statement Title:** Portfolio Statement For the period ending October 31, 2025. Statement Delivery Preference: Online Only.
- Introducing Your Newly Redesigned Statement:** A message explaining the refreshed design and providing contact information for questions.
- Important Message:** A notice regarding the trustee change for registered plan accounts effective April 30, 2026.
- Logos:** CIPF FCP, CIRO, and OCRI.
- Page Information:** Portfolio Statement, Page 1 of 5.

The information in this sample statement is for illustrative purposes only.

Second Page:

**5 For Your Information**  
 The disclaimers on your portfolio statement outline important legal, regulatory, and informational details. These disclaimers are included to ensure transparency, clarify scope of the information provided, and to protect both you and CIIS.



**5 For Your Information**

Each account statement contained in and forming part of this Portfolio Statement is the official account statement required by securities rules and regulations to be provided to clients at least on a quarterly basis.

CI Investment Services Inc. ("CIIS") is a wholly owned subsidiary of CI Financial Corp. ("CI"). The principal business of CI is the management, marketing, distribution, and administration of mutual funds, segregated funds, and other fee-earning investment products for Canadian investors through its subsidiaries. If you invest in CI products, CI will, through its subsidiaries, earn ongoing asset management fees in accordance with applicable prospectus or other offering documents.

CIIS is a member of the Canadian Investment Regulatory Organization ("CIRO") and the Canadian Investor Protection Fund ("CIPF"). CIIS is registered in all provinces and territories of Canada as an Investment Dealer.

Assets held in clients' accounts are protected by the CIPF within specified limits. A brochure describing the nature and limits of coverage was provided in account opening and is also available upon request.

This statement is being issued to you by CIIS. CIIS has agreed to act as the custodian for the assets disclosed on this statement. The assets eligible for CIPF coverage, within specified limits, are limited to those disclosed in this Portfolio Statement.

All amounts, unless otherwise noted, are reflected in the currency that is identified on the statement.

**Portfolio Summary**

The subsection on "Asset Allocation" indicates how the consolidated financial assets you hold with CIIS are distributed across each of the basic asset classes. The total value of your portfolio in this section may include any securities sold short or debit cash positions. Please refer to the "Holdings" subsection for a further breakdown of your account value.

**Holdings**

Segregated securities are fully paid securities held for your account in your name (SPK / Safekeeping) or in bearer form (SEG / Segregated) and may not be used in the conduct of our business while segregated. Unless otherwise indicated in your account statement, all securities are segregated on the records of CIIS and cannot be used in the normal course of our business. Unsegregated securities are held as collateral for your margin loan.

Quantities listed as "owing by you" indicate securities which are currently due from you or which you have sold short.

Any free credit balance for non-registered accounts represents funds payable on demand which, although properly recorded in CIIS' books, are not segregated and may be used in the conduct of our business.

The "Activities" subsection presents, in chronological order, all transactions made during the statement period. Purchases and/or dispositions of securities resulting from transactions settling subsequent to the date of the statement are excluded from the security position and will be reflected in the following Portfolio Statement.

The Canadian Western Trust Company is the trustee for registered plans of CIIS.

The Market Value of securities in your account is determined using sources that are considered reliable; however, the accuracy of those sources cannot be guaranteed. When CIIS becomes aware that previous trade prices may not be representative of Market Value, then the abbreviation "ND" (not determinable) will be used to indicate there is no reliable price information available, and after 365 days, the value will be reflected as \$0.

The Book Value reported on your statement is defined as the total cost including commissions and fees of purchasing a security. A short position will result in a negative book value for that security.

The following superscript notations may be associated with Book Values in the "Holdings" section of your statement:

- i) This notation indicates some transactions contributing to this position do not have an associated Book Value. To better reflect changes in the value of this position, Market Value has been used to estimate part or all of the Book Value. For example, if the Book Value was not available for positions transferred to CIIS, then Market Value as of the date of the transfer was used in lieu of Book Value. For security positions without a Book Value as at December 31, 2015 or an earlier date, the Market Value information as at December 31, 2015 has been used to estimate part or all of the Book Value.
- ii) This notation indicates the Book Value for some or all transactions contributing to this position were not determinable. To better reflect changes in value of these positions, where Book Value was unavailable, the Market Value may have been used to estimate part of the Book Value. Where the Market Value was not determinable, a Book Value of ND or \$0 was used.

The Book Value shown in this statement may not be suitable for income tax purposes, as it may not reflect all required adjustments. It is important for you to keep records of all your investment transactions and consult your income tax advisor to properly determine your gains and losses for income tax purposes.

The Market Value of all holdings are in Canadian dollars ("CAD"), including U.S. dollar ("USD") investments, with the exception of USD accounts (indicated by USD in the account header) which are reported in USD. CAD and USD investments are reported using the respective month-end conversion rate. The Book Value of all investments are reported using the conversion rate as of the transaction date.

The "Description" column may contain abbreviations, such as DSC or LL, which indicates that the security was purchased on a deferred sales charge or low load sales charge basis. Depending on the number of years it is held, charges may be applied by the issuer when the security is sold.

**Shareholder communications** (CSA National Instrument 54-101) – Your instructions may be modified at any time by providing us with written notice.

Copies of our financial position as of our most recent financial year-end and a list of our Executives and Directors are available upon written request.

**It is suggested that you retain this statement for income tax purposes.** The securities transactions shown in this statement are disclosed to Revenue Canada, as required by law, on an annual basis.

Please review your statement and notify CIIS of any discrepancies within fifteen (15) days of its receipt, in written format.

We reserve the right to adjust this statement for errors or omissions.



Portfolio Statement

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**6** CIIS is registered with the Canadian Investment Regulatory Organization ("CIRO") and is a member of the Canadian Investor Protection Fund ("CIPF"). A brochure describing the benefits of the CIPF and the nature and limits of coverage is available upon request.

The information in this sample statement is for illustrative purposes only.

Portfolio Summary & Asset Allocation:

**7 Portfolio Summary**  
 An overview of all accounts you have with us. The summary details each account type, currency, and market values as of the current and prior statement periods for a clear snapshot of your investments.

**8 Total**  
 Refers to the total market value of all holdings in your account(s), including cash balances, as of the close of the statement period. Totals will be reported in Canadian dollars using the FX rate indicated, unless all your accounts are in U.S. dollars.

**9 Asset Allocation**  
 An overview of the market value and percentage allocation of investments within your portfolio grouped by asset class.

**CI INVESTMENT SERVICES** Portfolio Statement  
 John Doe  
 As of October 31, 2025

**7 Portfolio Summary**

Account Type	Account Currency	Account Number	Market Value as of September 30, 2025 (\$)	Market Value as of October 31, 2025 (\$)
TFSA	CAD	1234567892	2,004.21	2,009.28
TFSA	USD*	1234567892	0.00	0.00
Margin	CAD	1234567893	15,197.51	15,298.59
Margin	USD*	1234567894	0.00	0.00
<b>Total</b>			<b>17,201.72</b>	<b>17,307.87</b>

Total amounts for Portfolio Summary and Portfolio Asset Allocation are stated in Canadian dollars, according to the month-end conversion rate. Current period: USD 1.00 = CAD 1.40201, CAD 1.00 = USD 0.7132; Previous period: USD 1.00 = CAD 1.3922, CAD 1.00 = USD 0.71829

**9 Asset Allocation**


Asset Class	Market Value (\$)	Percent (%)
CASH AND EQUIVALENTS	5.79	0.03
FIXED INCOME	2,720.00	15.72
INVESTMENT FUNDS	14,582.08	84.25
<b>Total</b>	<b>17,307.87</b>	<b>100.00</b>

**CIPE FCP MEMBER MEMBRE** Portfolio Statement **CIRO · OCRI**

The information in this sample statement is for illustrative purposes only.

Account Details & Holdings:

- 10 Account Header**  
 A header is included on the top of each page to clearly identify which of your account’s details are presented.
- 11 Account Details**  
 Highlights key details applicable to certain account types (e.g., Joint account holders, contributions and distributions for registered plans, beneficiary and/or successor information, etc.).
- 12 Holdings**  
 A summary of all your investments with CIIS (cash, GICs, stocks, bonds, mutual funds). This includes a description of the securities you own within a particular account, the quantity, book value, and market value.  
 For dual-currency accounts, separate CAD & USD holdings sections will be presented.
- 13 Symbol**  
 Stock or fund symbol identifying the security.
- 14 Status**  
 Identifies how much of your securities held are fully paid securities held for your account in your name (SFK / Safekeeping) or in nominee form (SEG / Segregated).
- 15 Book Value**  
 The total amount paid to purchase the security, including commissions and fees, adjusted for reinvested distributions, returns of capital, and corporate actions.
- 16 Market Price**  
 As of the statement date, the closing price of the last trade of the day. “ND” means that there is no reliable price information available.




Portfolio Statement | John Doe  
As of October 31, 2025

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RRSP Account CAD/USD Summary - 1234567892


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**Account Details**

Contributions	Amount - CAD (\$)	Designated Beneficiary(ies)
First 60 Days of the Year	0.00	Matthew Doe
Remainder of the Year	0.00	Jane Doe
<b>Year to Date</b>	<b>0.00</b>	


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**Holdings - CAD**


Description	Symbol	Quantity	Status	Book Value (\$)	Market Price (\$)	Market Value (\$)
<b>CASH AND EQUIVALENTS</b>						
CASH						0.47
<b>TOTAL CASH AND EQUIVALENTS</b>						
<b>0.47</b>						
<b>FIXED INCOME</b>						
STATE OF ISRAEL 1.95% 01 DECEMBER 2025	CUSIP46514TK T4	360.00	Seg 300	ND <sup>1</sup>	100.00	360.00
STATE OF ISRAEL 5YR MAZEL TOV (CAD) BOND 3.02% 01JAN2027	CUSIP46514U XC4	360.00	Seg 300	ND <sup>1</sup>	100.00	360.00
<b>TOTAL FIXED INCOME</b>						
<b>720.00</b>						
<b>INVESTMENT FUNDS</b>						
CANADIAN FIXED INCOME CORPORATE CLASS W *	CIG18053	31.672	Seg 31	562.65	18.6716	591.37
GLOBAL FIXED INCOME CORPORATE CLASS W *	CIG18054	40.343	Seg 40	691.81	17.2877	697.44
<b>TOTAL INVESTMENT FUNDS</b>						
<b>1,288.81</b>						
<b>Total Account Value</b>						<b>2,009.28</b>

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**Holdings - USD**

Description	Symbol	Quantity	Status	Book Value US (\$)	Market Price US (\$)	Market Value US (\$)
There are no holdings to display in the current statement period.						
<b>Total Account Value</b>						<b>0.00</b>



Portfolio Statement



The information in this sample statement is for illustrative purposes only.

Activities:

- 17 Activities**  
 Details all transactions made in your account during the statement period, including your opening and closing cash balances.  
 For dual-currency accounts, separate CAD & USD activities sections will be presented.
- 18 Activity**  
 Brief description of each transaction – buy, sell, dividend, interest, tax, fee, etc.
- 19 Description**  
 Provides expanded detail of the activity.
- 20 Quantity**  
 Shows the number of shares or units, if applicable to the transaction.
- 21 Price**  
 Shows the price, if applicable to the transaction.
- 22 Amount**  
 Shows the cash transaction total, if applicable.

CI INVESTMENT SERVICES

Portfolio Statement | John Doe  
As of October 31, 2025

RRSP Account CAD/USD Summary cont. - 1234567892

**Activities - CAD**

Trade Date	Settlement Date	Activity	Description	Quantity	Price (\$)	Amount (\$)
<b>Opening Cash Balance</b>						<b>1,221.26</b>
<b>May 2025</b>						
07 May	07 May	Dividend	DIV - EVOLVE GBL HLT FD UNHED UN USD	350.00		66.50
15 May	15 May	Fee Based Account Fee	FBF - FEE BASED ACCOUNT FEE 202504	0.00	0.00	(25.35)
15 May	15 May	HST	HST - FEE BASED ACCOUNT FEE - HST 202504	0.00	0.00	(3.29)
<b>Closing Cash Balance</b>						<b>1,259.12</b>

**Activities - USD**

Trade Date	Settlement Date	Activity	Description	Quantity	Price (\$)	Amount (\$)
There are no activities to display in the current statement period.						

The information in this sample statement is for illustrative purposes only.

**Have questions?**

If you have any questions, please contact CI Investment Services Inc.

The information contained herein is specifically for Canadian residents only and does not constitute an offer to sell or solicit sales in any foreign jurisdictions. CI Investment Services Inc. is a member of the Canadian Investment Regulatory Organization (CIRO) as well as a member of the Canadian Investor Protection Fund (CIPF).